

# **Document made available under the Patent Cooperation Treaty (PCT)**

International application number: PCT/GB04/005351

International filing date: 20 December 2004 (20.12.2004)

Document type: Certified copy of priority document

Document details: Country/Office: GB  
Number: 0329513.6  
Filing date: 19 December 2003 (19.12.2003)

Date of receipt at the International Bureau: 24 January 2005 (24.01.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse



GB 04/5351



INVESTOR IN PEOPLE

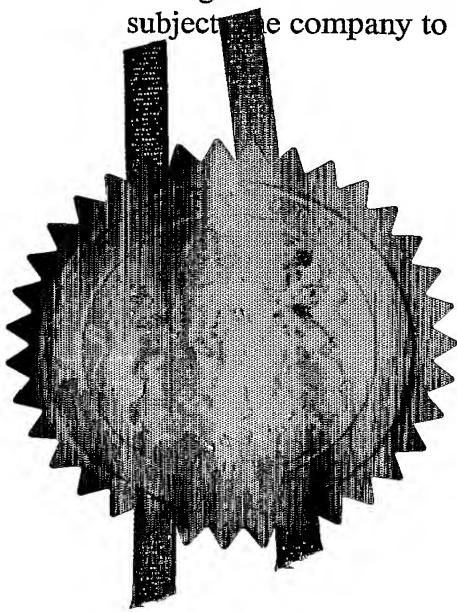
The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated 12 January 2005



## Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



1/77

The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference

P100042GB00/SJW

22 DEC 03 EB60959-1 D02B47  
PC 15700 0.00 0329513.6 ACCOUNT CHA2. Patent application number  
(The Patent Office will fill in this part)

19 DEC 2003

0329513.6

3. Full name, address and postcode of the or of each applicant (*underline all surnames*)Hoyland Fox Limited  
Manchester Road  
Millhouse Green, Penistone  
Sheffield S36 9NRPatents ADP number (*if you know it*)

6058390002

If the applicant is a corporate body, give the country/state of its incorporation

UK.

4. Title of the invention

Pendulum Umbrella

5. Full name of your agent (*if you have one*)

Haseltine Lake

"Address for service" in the United Kingdom to which all correspondence should be sent (*including the postcode*)Imperial House  
15-19 Kingsway  
London WC2B 6UDPatents ADP number (*if you know it*)

34001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (*if you know it*) the or each application number

Country

Priority application number  
(*if you know it*)

Date of filing (day/month/year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing (day/month/year)

8. Is a statement of inventorship and of right to a grant of patent required in support of this request? (*Answer "Yes" if:*  
a) *any applicant named in part 3 is not an inventor, or*  
b) *there is an inventor who is not named as an applicant,*  
*or*  
c) *any named applicant is a corporate body.*  
*See note (d))*

**Patent Form 1/77**

9. Enter the number of sheets for any of the following items you are filing with this form.  
Do not count copies of the same document.

Continuation sheets of this form

Description	9	—
Claims(s)	2	—
Abstract	1	—
Drawing(s)	8	↓ ↗ ↘ ↙

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right  
to a grant of patent (*Patents Form 7/77*)

Request for preliminary examination  
and search (*Patents Form 9/77*)

1

Request for substantive examination  
(*Patents Form 10/77*)

Any other documents  
(please specify)

11.

I/We request the grant of a patent on the basis of this application.

Haseltine Lake, Agents for the Applicants

Signature

Date

17 December 2003

12. Name and daytime telephone number of person to contact in the United Kingdom

Susan Willcox

[0113] 233 9400

**Warning**

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

**Notes**

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

## PENDULUM UMBRELLA

The present invention relates to a clamp for clamping two elongate poles together, and to a pendulum umbrella, in which the head of the umbrella, which is usually based on a short pole or stub pole, is supported from above by being clamped to a supporting pole, in particular by such a clamp.

Clamps are known for a variety of uses, such as clamping scaffolding parts or foldable stands. However, such clamps have the disadvantage that a large amount of work must be done to disengage the clamp to free or move the clamped objects.

By way of example, US 5,370,570 discloses a portable mobile, for use on an infant's cot. An umbrella structure is rotatably mounted on a support system, including a pair of beams. The support system can be clamped by a clamping assembly to a variety of fixtures, such as chairs, tables, car windows, cribs and playpens. The beams are pivotable with respect to this clamping assembly. The lowest beam may be clamped in a desired orientation to a base piece so that it can support the mobile in a cantilever fashion. This clamping is achieved by tightening a screw that presses together two sets of coarse teeth against each other. This device would not be suitable for supporting any substantial weight and is of limited application.

An aspect of the present invention comprises a clamp for holding two elongate members so that they extend at a preset angle from each other, comprising: two clamp portions each having co-operating engaging surfaces, each clamp portion having an axial bore extending perpendicularly to the engaging surfaces and a receiving portion for holding one of the elongate members; a stem or rod, passing through the bore of the clamp portions and attached at one end to a lever or handle for rotating the

stem in the bore, and a cam acting between the stem and at least one of the clamp portions so that the clamp portions can be moved from a position in which the engaging surfaces are not engaged to a position in which the engaging

- 5 surfaces co-operatively engage by rotating the lever by approximately 90°.

The lever preferably extends radially substantially more than the diameter of the stem, and allows the user to switch the clamp between fully open and fully closed in 10 only a right-angle turn, which implies ease of use. The lever advantage means that within this range an axial travel of several millimetres is possible, so the engaging parts, e.g. teeth, can be this high and hence robust.

15 Preferably there is a cam acting in both directions of movement of the lever, so that the lever can be used to disengage the parts positively also.

20 The clamp should be capable of holding the elongate members so that their axes can be inclined in a variety of orientations, preferably over a full 360°. The holding means for the elongate members can simply be a tube, 25 preferably integral with the clamp portions, extending perpendicularly to and with its axis offset from the interlocking plane of the clamp portions. For full freedom of movement the tube should not be intersected by this plane. The elongate members can be fastened in the tubes by screws. The clamp can conveniently be made of plastics material such as nylon.

30 The cam can be formed of a radial lug on one part, say the rod or stem, and a track on the other part, say one of the clamp portions, extending over a quarter turn and inclined to the radial plane. Alternatively, each part can have an extended track so as to form something like a screw thread or partial thread.

35 The clamp can be used to hold the poles of an umbrella-type frame. This enables a great variety of umbrella configurations, particularly if the umbrella's furling mechanism is self-contained on the shaft of the umbrella

head. For instance, one can fix two cantilever poles to a single support pole, with an umbrella head on each cantilever pole.

DE 41 05 479 A1 discloses an umbrella having two  
5 umbrella heads on one shaft but there is no freedom of  
adjustment.

FR 2 752 511 and 2 752 512 disclose a "pendulum  
umbrella" which can be unfurled using a rope which passes  
through the shaft of the umbrella, connecting the head of  
10 the umbrella to a handle disposed on the shaft.  
Accordingly, this shaft is necessarily in a fixed  
configuration.

EP 1 279 350 A1 discloses a pendulum umbrella which can  
be unfurled by turning a handle on the stub pole. There is  
15 no discussion of the clamps that hold the portions of the  
main shaft together, and the shaft portions clearly cannot  
be dismantled.

The invention thus also concerns an umbrella assembly  
comprising an umbrella head and a pole for supporting the  
20 umbrella head, the umbrella head having a runner slidably  
mounted on a shaft portion of the umbrella head and  
stretchers extending radially from the said runner to the  
ribs, and a clamp for releasably attaching the umbrella  
head to the pole.

25 Attaching the head to the pole by a releasable clamp  
allows a great variety of configurations. For instance,  
the elongate pole may be arranged for supporting at least  
two umbrella heads, as mentioned above. Preferably there  
are at least two elongate poles for supporting at least one  
30 umbrella head, one vertical and one cantilevered, similarly  
clamped together. More than one head can be supported on  
one cantilevered shaft. Alternatively, a cantilevered pole  
can simply be attached to a fixture, such as a wall bracket  
or a pergola.

35 A plurality of elongate poles may be provided for  
supporting a plurality of umbrella heads so as to make up a  
matrix of umbrellas suspended from a framework made of

poles clamped together. Because the clamps are releasable a framework can be constructed in which cantilever ends are attached to each other, giving a robust scaffold supported on a small number of upright poles. The invention is thus 5 also concerned with a kit of parts consisting of poles and clamps of this type, preferably identical.

For a better understanding of the invention the present invention will now be described, by way of example 10 only, with reference to the following drawings, in which:

Fig. 1 shows an exploded view of a clamp according to an embodiment of the invention;

Fig. 2 shows a view of the lever of Fig. 1;

Fig. 3 shows sectional and perspective views of the 15 clamp in its assembled position;

Fig. 4 shows a pendulum umbrella fitted with a clamp according to an embodiment of the present invention;

Fig. 5 shows an arrangement whereby two pendulum 20 umbrella heads are supported by clamps according to an embodiment of the present invention;

Fig. 6 shows an arrangement whereby two pendulum umbrella heads are supported by clamps according to an embodiment of the present invention;

Fig. 7 shows an arrangement whereby a number of 25 pendulum umbrella heads are supported by clamps according to an embodiment of the present invention; and

Fig. 8 shows an arrangement whereby a large number of umbrella heads are supported by clamps according to an embodiment of the present invention.

30

Turning to Fig. 1, this shows generally the parts of a clamp 10, comprising a first clamp portion 12 and a second clamp portion 14, having co-operating disc-like engaging surfaces 16a and 16b in the form of a ring of radial teeth, 35 and respective corresponding bores 18a and 18b running through the centre of the discs, forming an axis of rotation for the discs. The stem 19 of a lever 20 passes

through the bores 18a and 18b. The stem has a radial flange 21 disposed thereon at the other end, remote from the lever, so that the first and second clamp portions may be located on the stem of the lever.

5       The first and second clamp portions include integral tubes 22a and 22b, into which poles 23a and 23b or other such elongate items can be inserted, so that each clamp portion holds one pole. The items can then be secured in the tubes by screw clamp hand wheels 24a and 24b, 10 penetrating into the tubes and holding the poles with clamp pads 25a, 25b. This ensures that the tubes of the clamp portions are held securely when the clamp is assembled. A female thread inside the handwheels 24a, 24b engages with a male thread on the first and second clamp portions 12, 14. 15     Tightening the respective handwheels tightens the clamp pads against the poles 23a, 23b. The tubes 22 are located clear of the engagement plane of the teeth 16, so that the two halves of the clamp can be rotated without obstruction. Furthermore, the tubes 22a, 22b can be positioned relative 20 to each other so that the axes of poles 23a, 23b fitted therein are parallel or skewed as desired. A plug 26 is provided for maintaining the rigidity of the stem 19 in the bores 18a, 18b, and also for keeping the lever captive in the lower clamp portion 14. The plug is inserted into the 25 lower bore 18b and further into the stem 19 of the lever, and jammed in.

Fig. 2 shows the lever 20 in more detail. From this figure the profile of the stem 21 of the lever can be seen. A locating portion 27 having a narrower diameter than the 30 rest of the stem 19 is provided, flanked on one side by the flange 21, and on the other by a step formed by virtue of the fact that the other part of the stem has a larger diameter. The portion of the stem having the larger diameter is provided with a pip 28. There is further 35 provided a protrusion or lug 30 on this portion of the stem, somewhat spaced circumferentially and axially from the pip 28. Fig. 2 also shows how the flange end of the

stem 19 is split to allow it to be press-fitted into the central holes 18 in the clamp halves. As mentioned above the plug (not shown in Fig. 2) is inserted into the stem to prevent the split ends from bowing inwards, and also for  
5 cosmetic reasons.

The first clamp portion, shown in Fig. 3, includes two parallel upper and lower tracks 32a and 32b having a common profile in the form of an internally facing ledge 34, disposed between the two and separating the tracks. The  
10 tracks are disposed on the inside surface of the axial bore 18a in the first clamp portion. The tracks on the first clamp portion are shaped so that one end each of the tracks is closer to the engaging surface 16a of the first clamp portion. In the assembled clamp, the pip 28 moves on the  
15 lower track 32b, and the protrusion 30 moves in the upper track 32a. Therefore, as the lever is rotated the pip 28 and the protrusion 30 ride over their tracks. By virtue of the contact of either the pip or the protrusion on the shared profile 34 of the track the two clamp portions are  
20 drawn closer to or further away from each other by rotating the lever.

On assembly of the clamp the lever 20 is inserted into the first clamp part 12, the pip 28 passing into its track 32b at the entry point or groove 36. This entry point  
25 corresponds to the highest point of this track (i.e. when the first and second clamp portions are nearest each other). The lever 20 is then turned through about  $90^\circ$  to the "unlocked" symbol on the upper clamp part 12, so that the lug 30 is at the bottom of its track 32a. At this  
30 point the lower clamp half is fitted over the flanged end 21 of the stem 19 and snaps into place. The lever can then be turned back towards the lock symbol to make the lug 30 ride on the upper track 32a, thus drawing the first and second portions closer together.

35 The range of movement of the lever is indicated in Fig. 3 by the moulded arrow between lock and unlock symbols. The track is shaped so that the co-operating

engaging surfaces 16a, 16b on the clamp portions fully engage with each other when the pip is in the lowest position in the track. The first and second portions may be separated by rotating the lever the other way. In this case the pip bears against the lower track profile 32b and urges the upper and lower clamp portions apart. The track is shaped so that the lever need only be turned through approximately a right angle to bring the pip from the highest point of the track to the lowest point, at which the teeth 16 are fully engaged, thus giving rise to an easy clamping action.

Fig. 4 shows a cross-section of the assembled clamp, with the two clamp portions drawn together so that their teeth are in engagement with each other. From this Figure it can be seen that the profile of the cam track is such that the clamp portions can be drawn together, from spacing b to spacing a, by rotation of the lever. The clearance of the tube of the first clamp portion from the interlock plane is also shown, as is the way in which the second portion is held captive but rotatable in the narrow-diameter portion 27 of the stem 19. Furthermore, the role of the flange 21 in holding the lever captive on the first and second clamp portions can be seen. It and/or the bore of the stem 19 are slightly tapered so that a friction fit is created in the region above the split and sections. It can also be seen that there are in part two diametrically opposite protrusions 30, which ride on duplicated tracks in the upper clamp part 12, and likewise for the lugs 28. This prevents canting of the stem in the clamp.

Fig. 5 shows a pendulum umbrella 40, i.e. one in which the head 41 of the umbrella is supported from above, thus obviating the need for a support directly below the head of the umbrella. The umbrella head 41 has a shaft portion or stub pole 44 on which the runner 43 slides, coming off altogether when the umbrella is furled. The umbrella head in Fig. 5 is supported by a frame 42 made of two elongate

poles, in this example one being substantially vertical and the other being more or less horizontal. The poles are attached together with a clamp 10' as described above, and the stub pole 44 of the umbrella head is attached at the 5 top of the head 41, above the notch, to the substantially horizontal pole with a further clamp 10" as described above.

In use the configuration of the umbrella can be altered by loosening the clamps by turning the lever by 10 approximately 90°, as mentioned above. Thus, the relative orientation of the two poles can be altered or the relative position of the umbrella head with respect to the substantially horizontal pole can be altered. Also the height of the horizontal pole up the vertical pole, and the 15 distance of the head out along the horizontal pole, can be adjusted. The clamp herein described is very simple and versatile. Therefore, many different possibilities and configurations of the umbrella head and poles are envisaged. Preferably, the shafts 42 and the inside of the 20 clamp connector have matching longitudinal ribs or are otherwise of non-circular section, e.g. polygonal, to prevent the connector from rotating with respect to the shaft, in the wind, for example.

Figs 6 to 9 show more elaborate arrangements of 25 umbrellas, fitted to frames with the clamps described above. Figs. 6 and 7 show arrangements in which two umbrella heads are supported by one substantially vertical pole. Fig. 6 shows an arrangement in which the umbrella heads are at different heights, and Fig. 7 shows an 30 arrangement in which the umbrella heads are at more or less the same height. These different arrangements may be useful for catering for different requirements; for example, in Fig. 6 umbrella heads are provided at the same distance from the ground for the two different ground 35 levels shown in the Figure.

Fig. 8 shows a matrix of umbrellas whereby a number of umbrellas are linked together to provide an area of shade,

for example. In this arrangement the ends of the cantilevers can be fixed together, and can be extended and rotated at will, so that assembly is easy. The vertical poles can be planted in weighted stands, or directly in the ground if it is soft. Umbrellas can be connected in this way to make tents or awnings, in a manner reminiscent of that shown in WO 98/3800. However, in this document there is no leeway in the way that the umbrellas are fixed.

Fig. 9 shows a matrix of umbrellas where further umbrellas have been added to the arrangement shown in Fig. 7, so that an even greater area of shade is produced, and the amount of vertical supports needed is significantly fewer than for a corresponding number of separate upright umbrellas. Moreover this arrangement may produce a canopy of greater stability. Although the umbrellas may use the clamps of Fig. 1 to 4, in principle any releasable clamps may be used.

CLAIMS

1. A clamp for holding two elongate members so that they extend at a preset angle from each other, comprising:

5       two clamp portions each having co-operating engaging surfaces, each clamp portion having an axial bore extending perpendicularly to the engaging surfaces and a receiving portion for holding one of the elongate members;

10      an elongate stem or rod, passing through the bore of the clamp portions and attached at one end to a lever or handle for rotating the stem in the bore, and a cam acting between the stem and at least one of the clamp portions so that the clamp portions can be moved from a position in which the engaging surfaces are not engaged to a position 15     in which the engaging surfaces co-operatively engage by rotating the lever in a first direction by approximately 90°.

2. A clamp according to claim 1, in which the stem (19) 20     has a radial flange (21) at the other end for holding the clamp portions together.

3. A clamp according to claims 1 or 2, in which the cam includes a track (32a) on one of the clamp portions, and a 25     co-operating protruding element (30) on the stem, the protruding element being arranged to ride on the track in use.

4. A clamp according to claim 3, and further having a cam 30     mechanism (32b, 28) for separating the clamp portions (12, 14) when the lever is turned in a second direction.

5. A clamp according to any proceeding claim, in which the clamp is suitable for allowing a full 360° range of preset 35     angles between the elongate members held therein.

6. A clamp according to any previous claim, in which the

engaging surfaces have interlocking elements such as teeth (16).

7. An umbrella head designed to be supported on a pole  
5 (42), the umbrella head having a shaft portion (44) ribs  
extending outwardly from the shaft portion to support a  
cover, a runner slidably mounted on the shaft portion and  
stretchers extending radially from the said runner to the  
ribs, and a clamp (10, 10', 10") for releasably attaching  
10 the umbrella head to the pole.

8. An umbrella assembly including an umbrella head  
according to claim 7, and at least two elongate poles (42)  
for supporting at least one umbrella head, one  
15 substantially vertical and one cantilevered, similarly  
clamped together.

9. An umbrella assembly according to claim 8 and including  
at least two such umbrella heads.

20 10. An umbrella assembly according to claim 9 and including  
at least two standing poles and two cantilever poles, each  
pole being connected to at least one other pole connected  
in a matrix for supporting umbrella heads.

25 11. An umbrella assembly according to any of claims 8 to  
10, connected together by clamps according to any of claims  
1 to 6.

30 12. An umbrella assembly according to any of claims 8 to 11  
wherein a (10") clamp is disposed at the top of the  
umbrella head.

35 13. A kit of parts comprising poles, clamps and umbrella  
heads, that can be assembled to form an umbrella assembly  
according to claim 11.

ABSTRACT

A clamp 10 for holding two elongate members 23a, 23b so that they extend at a preset angle from each other,  
5 comprising: two clamp portions 12, 14 each having co-operating engaging surfaces 16a, 16b, each clamp portion having an axial bore 18a, 18b extending perpendicularly to the engaging surfaces and a receiving portion for holding one of the elongate members; a stem or rod 19, passing  
10 through the bore of the clamp portions and attached at one end to a lever or handle 20 for rotating the stem in the bore, and a cam acting between the stem and at least one of the clamp portions so that the clamp portions can be moved from a position in which the engaging surfaces are not  
15 engaged to a position in which the engaging surfaces co-operatively engage by rotating the lever by approximately 90°.

An umbrella assembly 40 comprising an umbrella head and a  
20 pole 42 for supporting the umbrella head, the umbrella head having a runner slidably mounted on a shaft portion of the umbrella head and stretchers extending radially from the said runner to the ribs, and a clamp 10, 10', 10'' for releasably attaching the umbrella head to the pole.  
25

Fig. 5

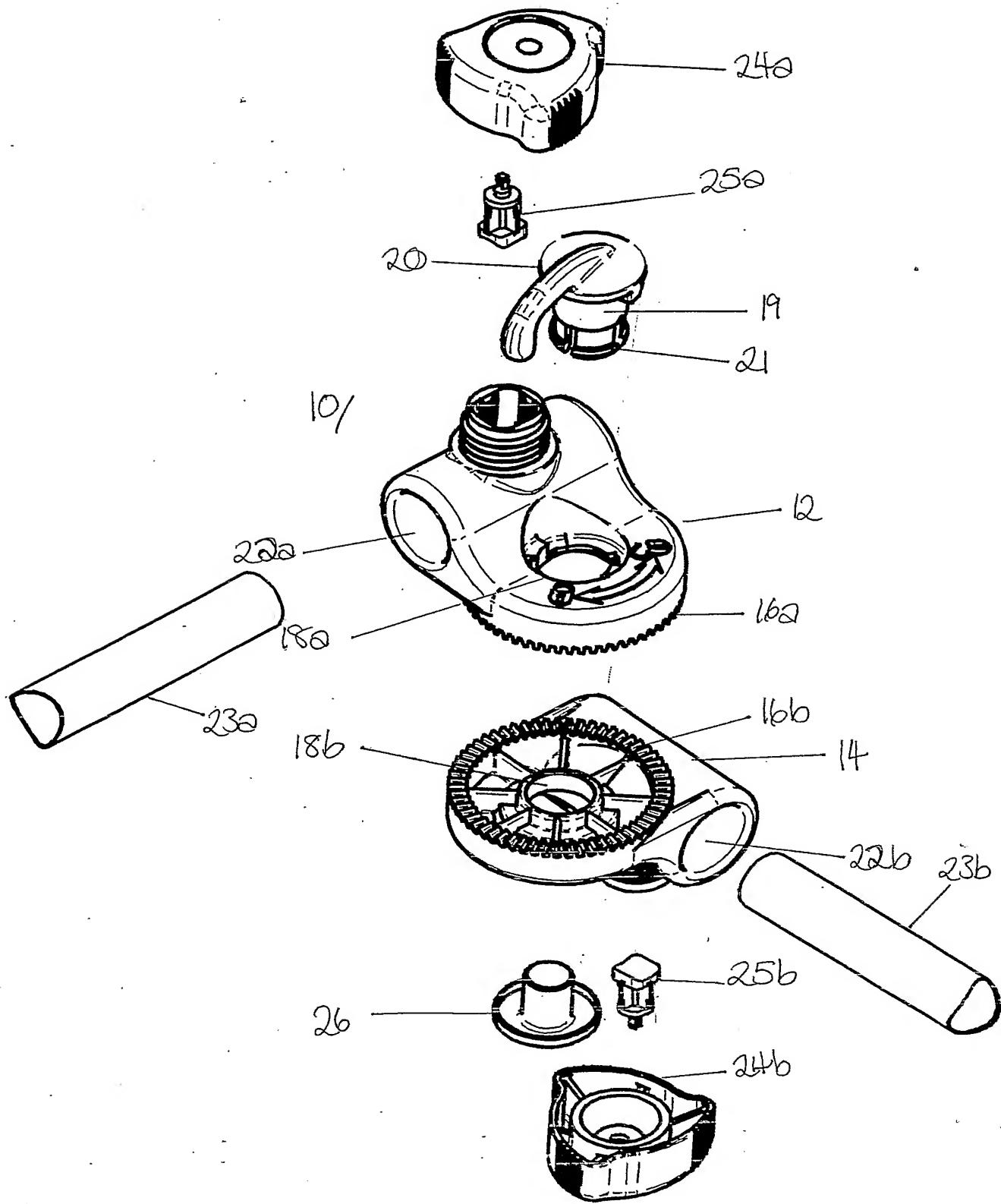
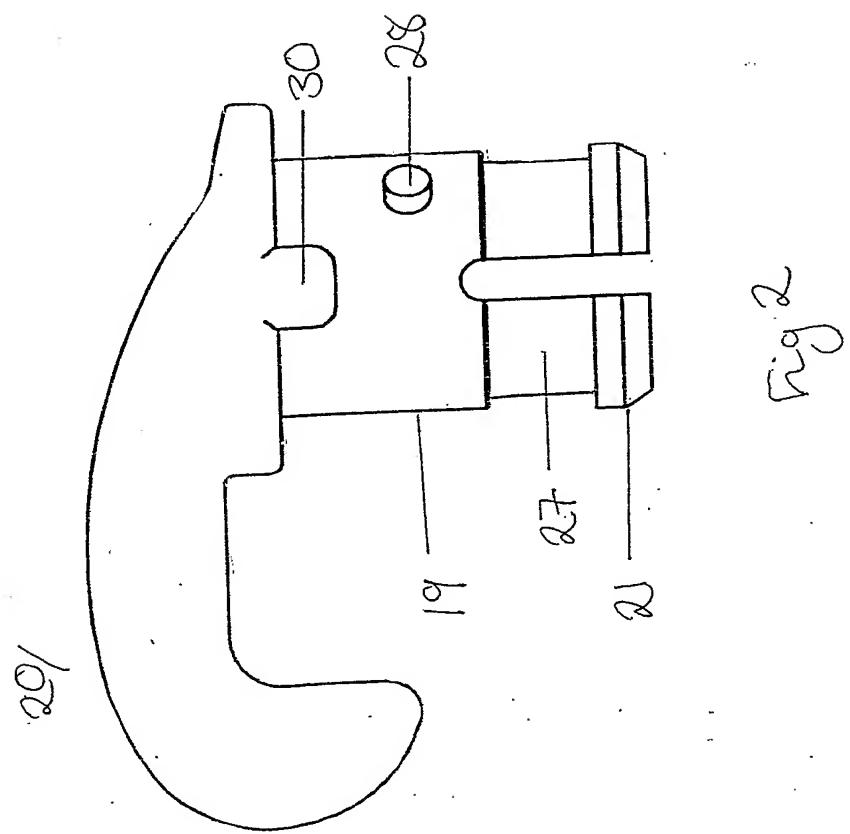
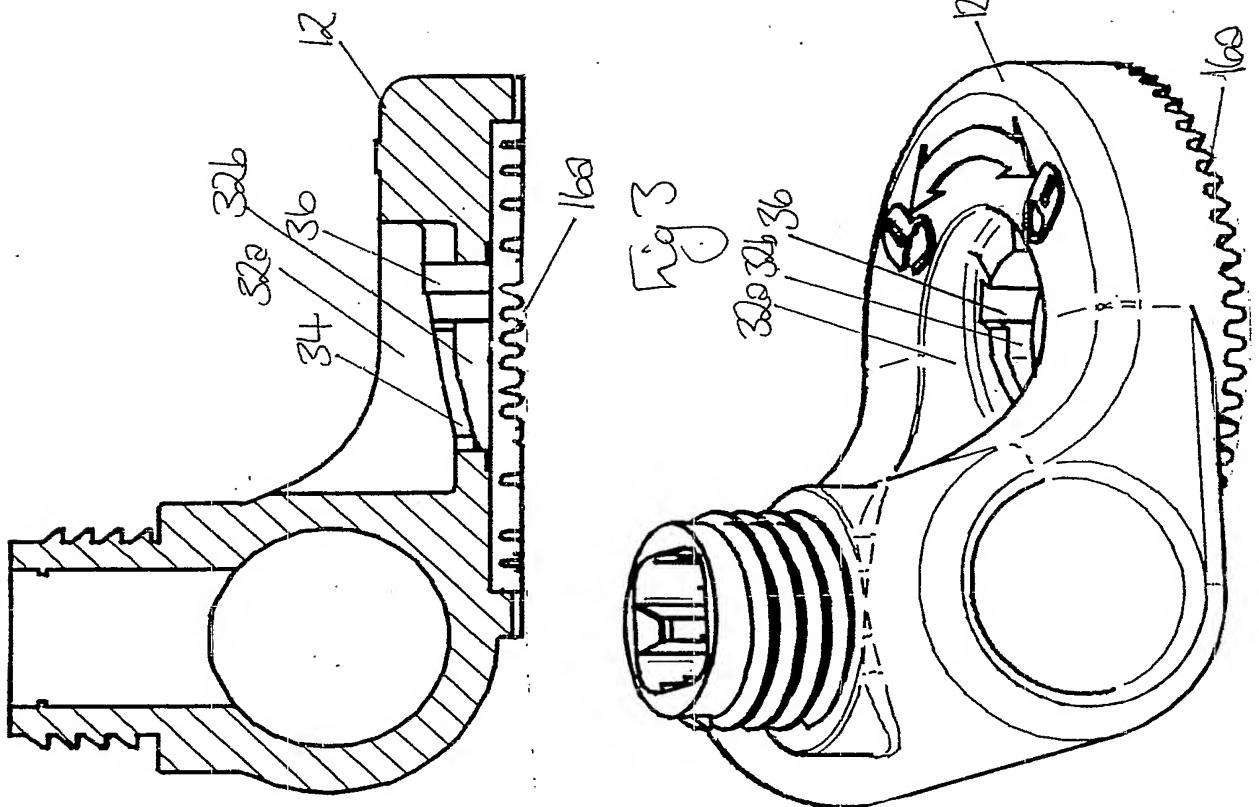


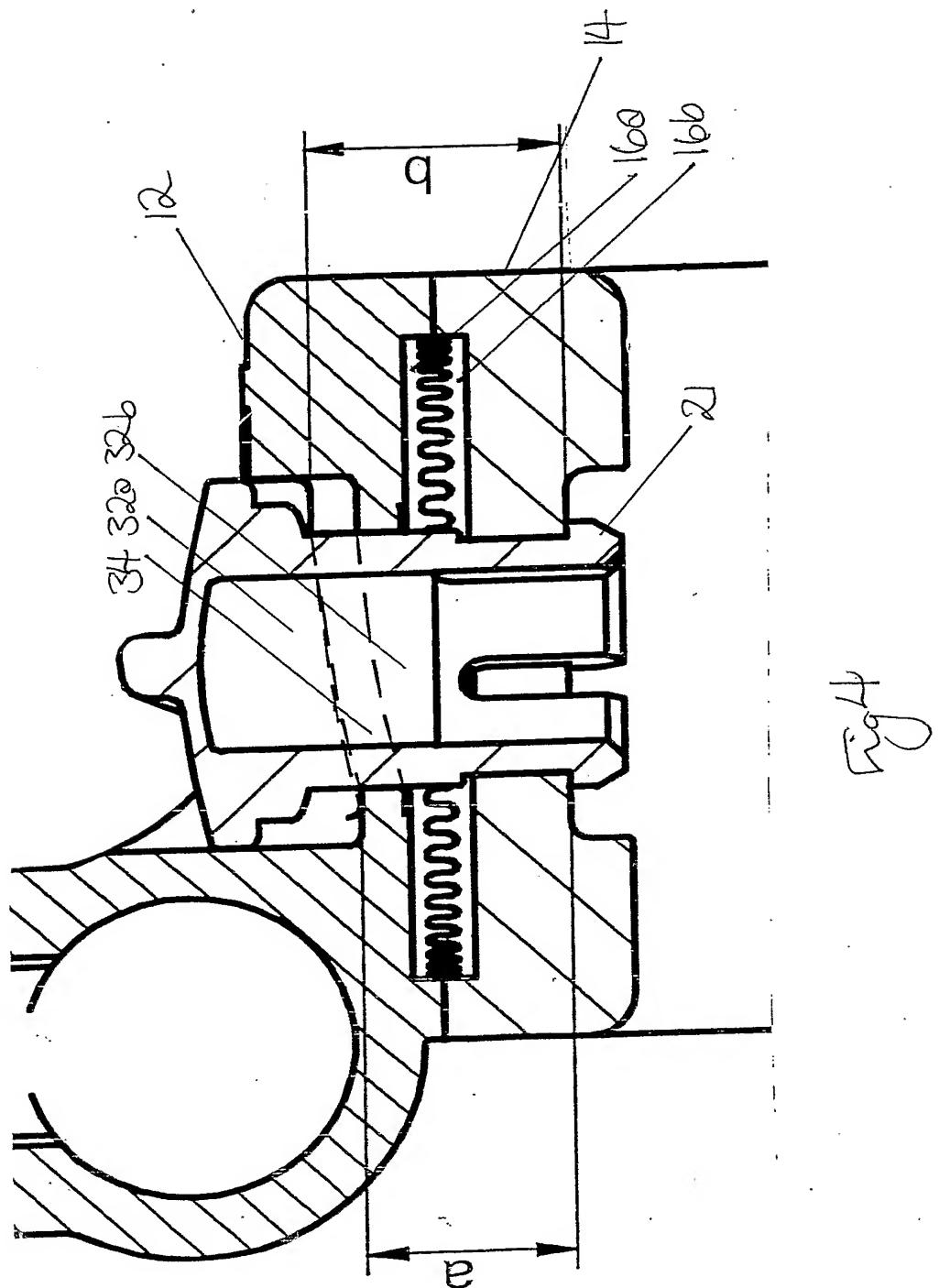
Fig 1

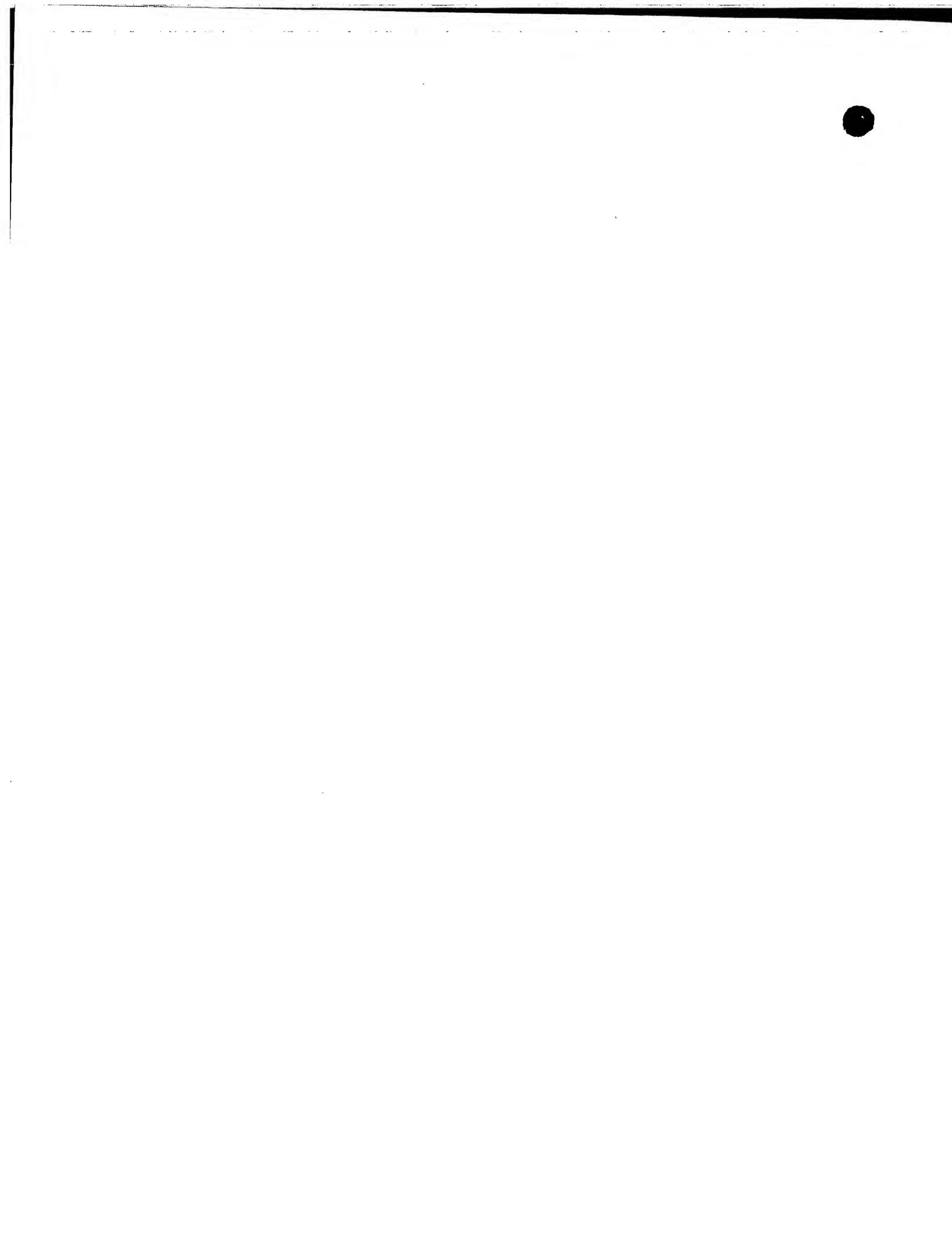


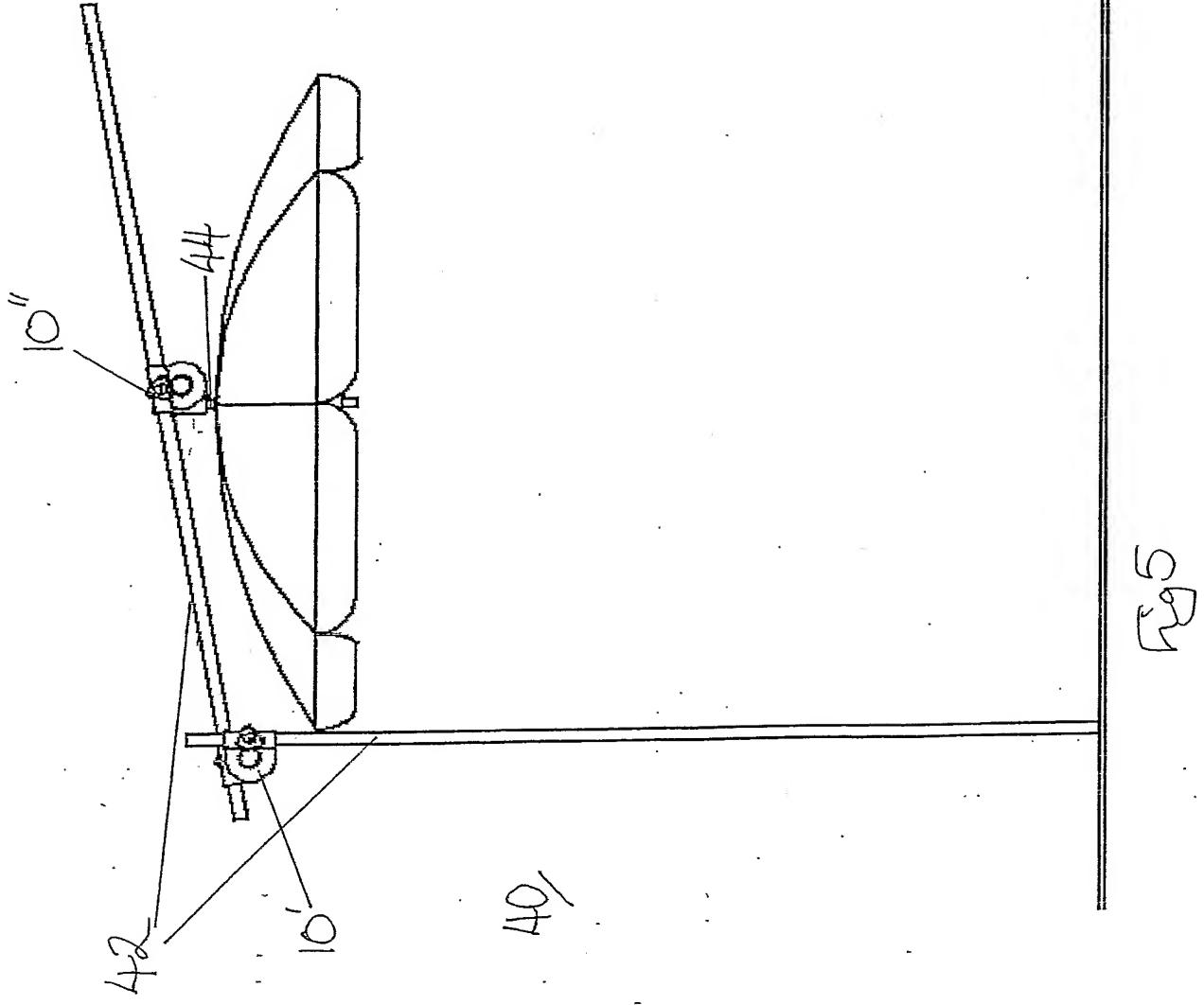


29

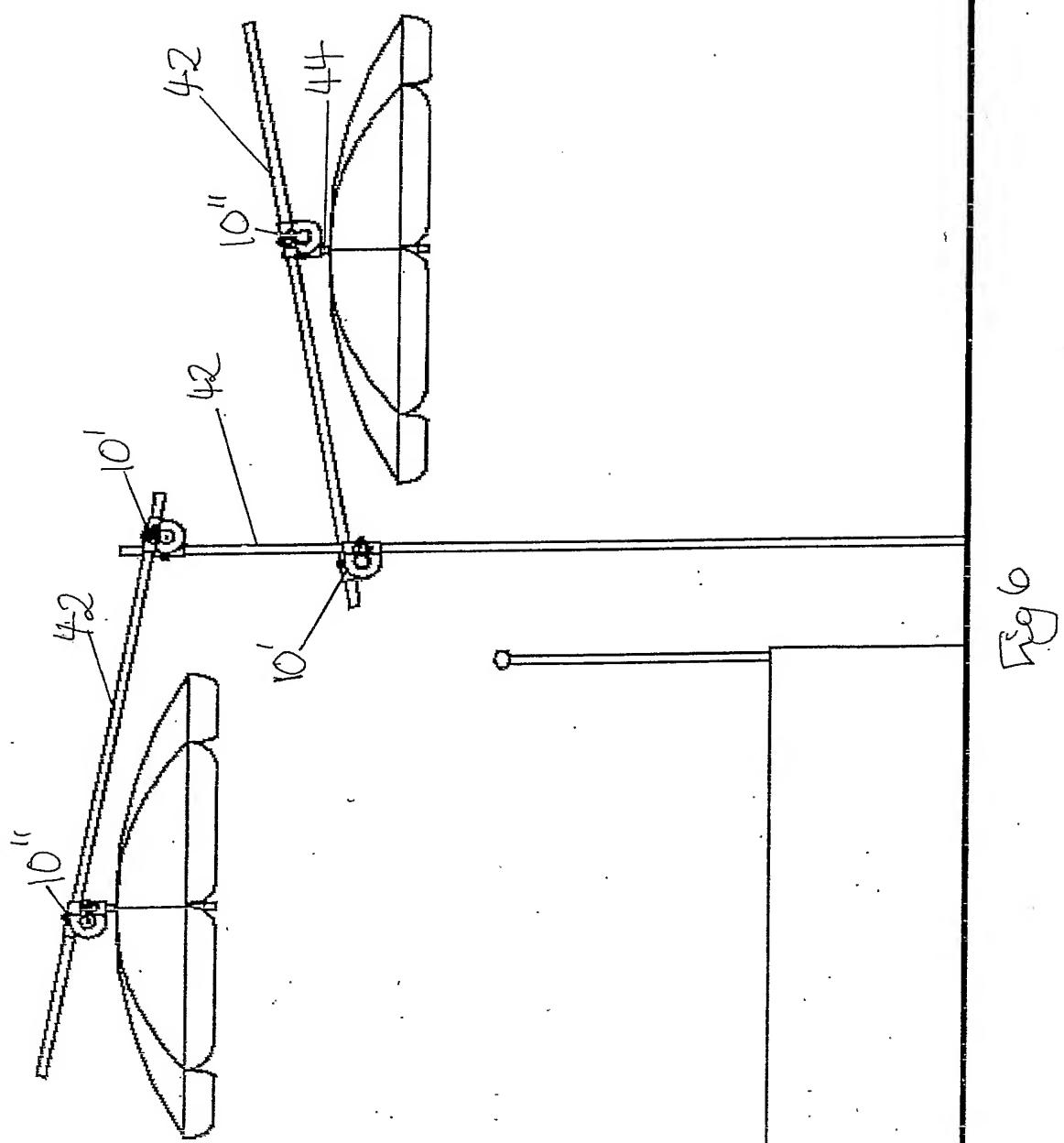
2873



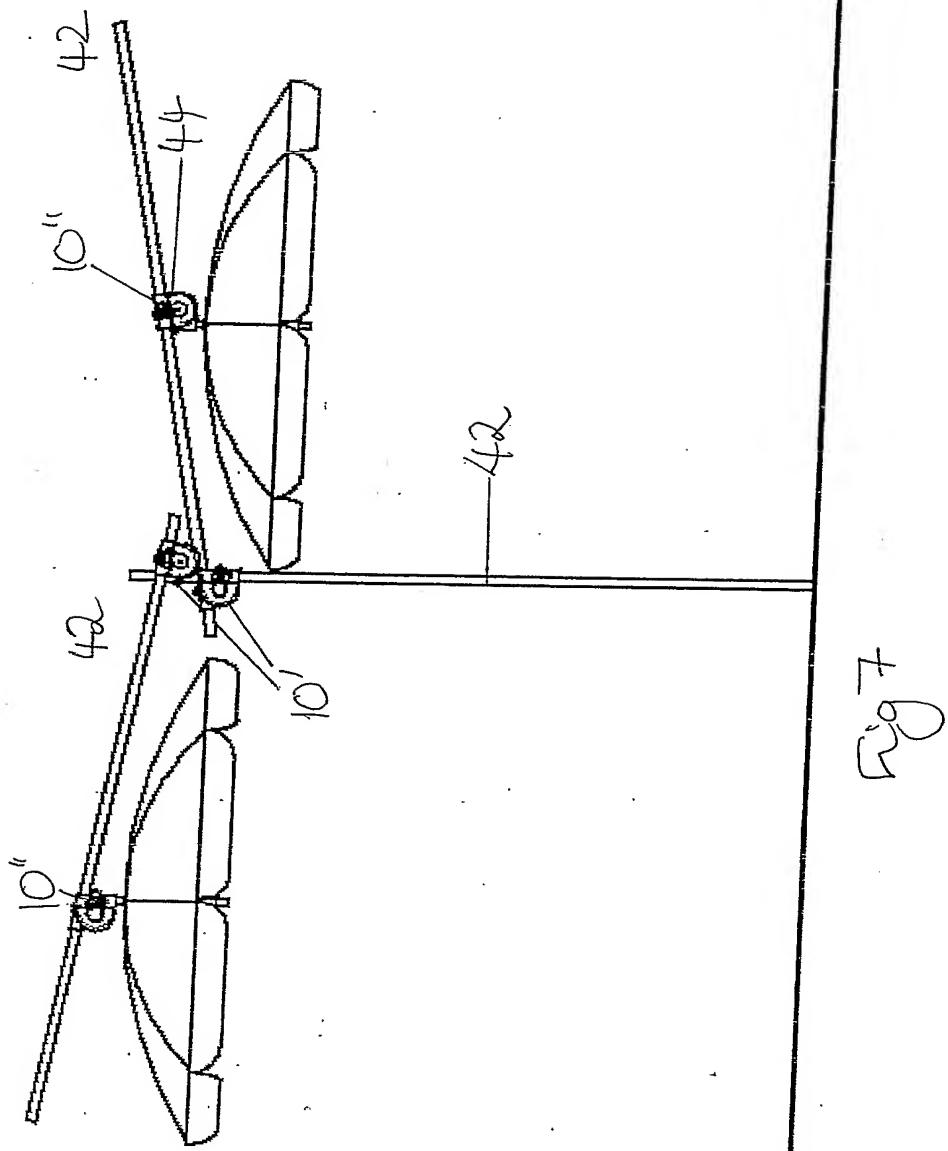














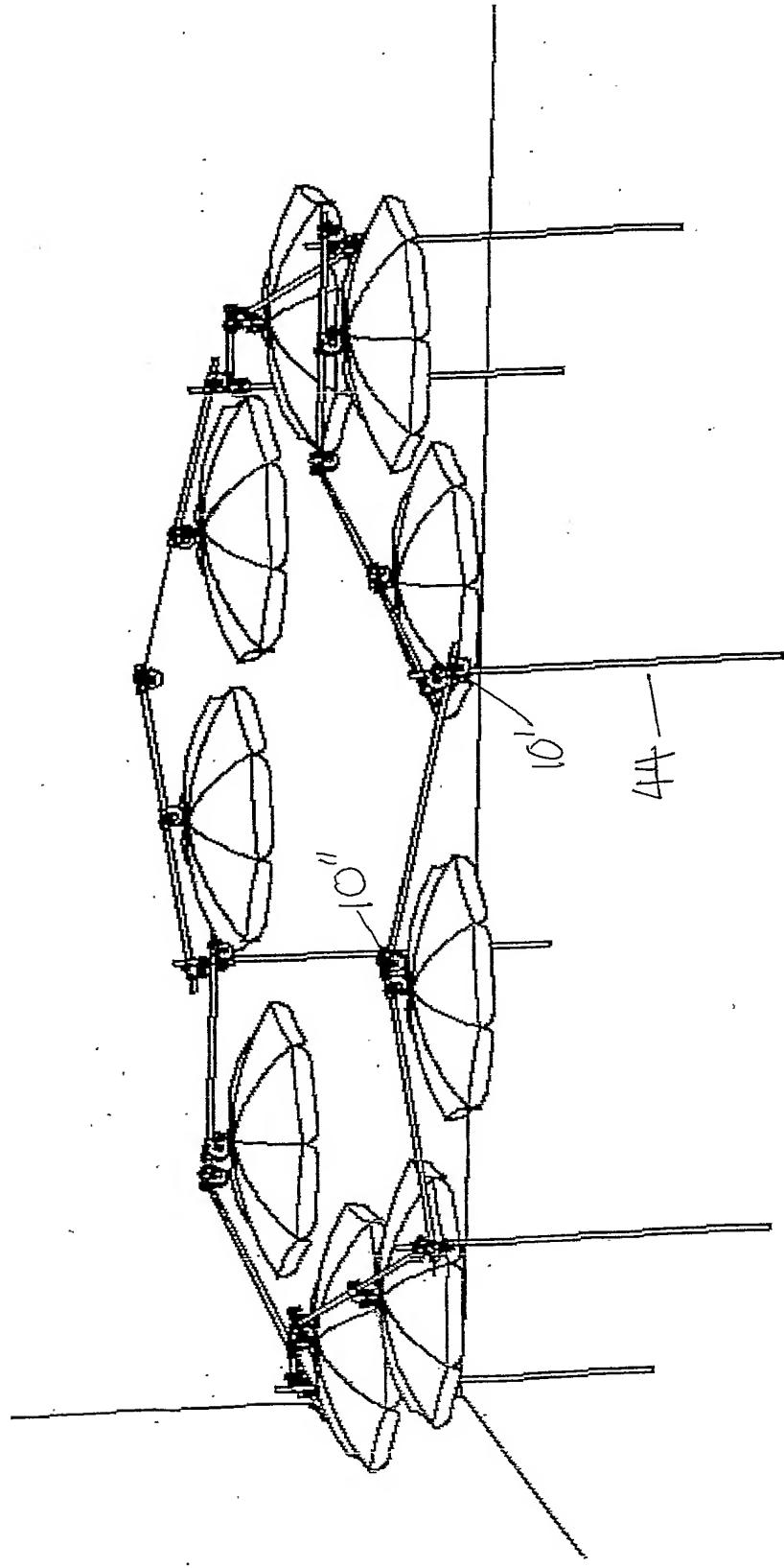


Fig 8



